



Attorney Docket No. 115.0023-00000  
Customer No. 22882  
Express Mail Label No. ET692326356US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

John I. Shipp

Serial No: (unassigned)

Filed: November 12, 2003

For: IMPROVED SURGICAL LIGATION CLIP

MS PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**UTILITY PATENT APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application identified above.

- ☒ Applicant claims small entity status
- ☒ 21 total application pages including specification, claims (26 total and 3 independent), and abstract are enclosed.
- ☒ Unexecuted Declaration and Power of Attorney is enclosed.
- ☒ 3 sheets of formal drawings (8.5 X 11 paper; Figs. 1-10) are enclosed.
- ☒ An Assignment of the invention to SURGICON, INC. was recorded on March 5, 2003 at Reel 013462, Frame 0080.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A certified copy of \_\_\_ Patent Application No. \_\_\_ filed \_\_\_ from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☐ Information Disclosure Statement (IDS) with Form PTO-1449 and \*\*\* document(s) are enclosed.
- ☐ Amendment is enclosed.

CALCULATION OF FEES							
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ FEE
A	TOTAL CLAIMS FEE	26	-20	6	LG=\$18 SM=\$9	\$9	\$ 54
B	INDEPENDENT CLAIMS FEE*	3	-3	0	LG=\$86 SM=\$43	\$43	\$ 0
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						\$ 54
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145		\$ 0
E	BASIC FEE				LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385		\$ 385
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 439

- ☒ A filing fee in the amount of \$439.00 is to be charged to Deposit Account No. 50-1068.

☒ Correspondence Address: Customer No. 22882  
Martin & Ferraro, LLP  
1557 Lake O'Pines Street, NE  
Hartville, Ohio 44632  
Telephone: (330) 877-0700  
Facsimile: (330) 877-2030

☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1068. **A copy of this sheet is enclosed.**

- ☒ Any additional filing fees required under 37 C.F.R. § 1.16
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,  
MARTIN & FERRARO LLP

Date: November 12, 2003

By: 

Thomas H. Martin  
Registration No. 34,383

1557 Lake O'Pines Street, NE  
Hartville, Ohio 44632  
Telephone: (330) 877-0700  
Facsimile: (330) 877-2030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
John I. Shipp )  
Serial No.: (not yet assigned) )  
Filed: November 12, 2003 )  
For: IMPROVED SURGICAL )  
LIGATION CLIP )

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL**

Express Mail Mailing Label No. ET692326356US

Date of Deposit: November 12, 2002

I hereby certify that:

1. Utility Patent Application Transmittal (in duplicate \$439.00 filing fee charged to Deposit Account No. 50-1068)
2. 21 pages of application including specification, claims (26 total and 3 independent), and abstract
3. Unexecuted Declaration & Power of Attorney
4. 21 sheets of formal drawings (8.5 x 11 paper; Figs. 1-10)
5. Self-addressed return postcard receipt

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

MS PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Date: November 12, 2003

  
Thomas H. Martin

1557 Lake O'Pines Street, NE  
Hartville, Ohio 44632  
Telephone: 330-877-0700  
Facsimile: 330-877-2030